Fostering Appropriate Reliance on Large Language Models

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Appropriate reliance is key to human-AI complementarity

Overreliance



Relying on inaccurate AI outputs

Appropriate reliance



Relying on accurate AI outputs & Not relying on inaccurate AI outputs

Underreliance



Not relying on accurate AI outputs





Risks from inappropriate reliance on Al



"Remain aware of the possible tendency of automatically relying or over-relying on the output produced by a high-risk AI system"

(EU AI Act, Article 14 Human Oversight)



"Risk from confabulations may arise when users believe false content — often due to the confident nature of the response — leading users to promote false information."

(NIST AI RMF, Section 2.2 Confabulation)

How can we foster appropriate reliance on AI?

Onboarding

Nourani et al. HCOMP 20

Explanations

Vasconcelos et al. CSCW 23

(Un)certainty information

Zhang et al. FAccT 20

Cognitive forcing functions

Buçinca et al. CSCW 21

Traditional Al

?

?

7

Generative Al

General purpose

Natural language

Interactive

Public excitement

Thoughtful empirical studies on user perceptions and behaviors

1. LLMs providing explanations (w/wo inconsistencies) and sources

Fostering Appropriate Reliance on LLMs: The Role of Explanations, Sources, and Inconsistencies. Kim, Vaughan, Liao, Lombrozo, Russakovsky. *CHI* 25 👗

2. LLMs expressing uncertainty (w/wo using personal pronouns)

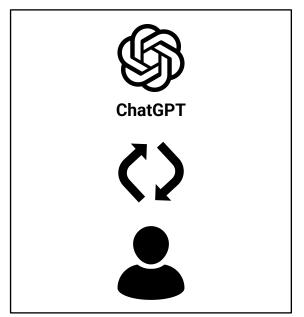
"I'm Not Sure, But...": Examining the Impact of LLMs' Uncertainty Expression on User Reliance and Trust. Kim, Liao, Vorvoreanu, Ballard, Vaughan. FAccT 24

3. Portraying LLMs as machines vs. tools vs. companions

Portraying LLMs as Machines, Tools, or Companions Affects What Mental Capacities Humans Attribute to Them. Chen, <u>Kim</u>, Dharmasiri, Russakovsky, Fan. *CogSci 25*

Study 1: Think-Aloud Study





N=16 (diverse LLM knowledge and use), Each participant solves 3 QA tasks via multi-turn interactions with ChatGPT

Qualitative studies can help identify "what to evaluate" and "why"

QA task:

Determine the correct answer to the question

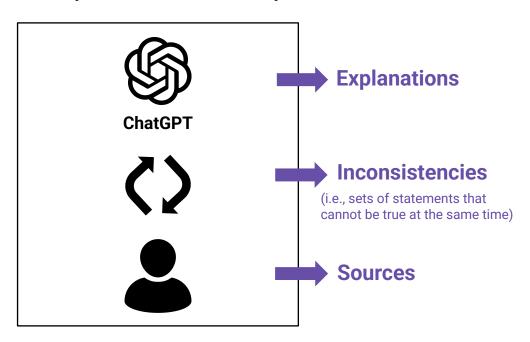
General domain factual question (e.g., Has Paris hosted the Summer Olympics more times than Tokyo?)

Health/Legal domain factual question

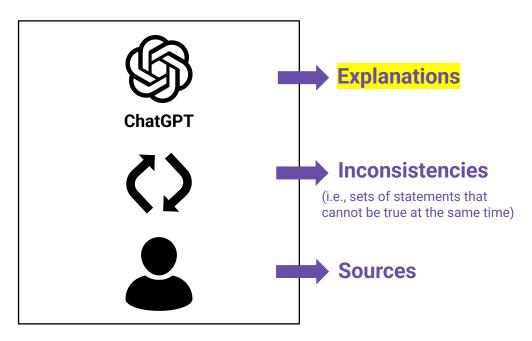
(e.g., Is it illegal to collect rainwater in Colorado?)

Math question (e.g., Sue puts one grain of rice on the first square of a Go board and puts double the amount on the next square. How many grains of rice does Sue put on the last square?)

Study 1: Think-Aloud Study



Study 1: Think-Aloud Study



Task question (example)

Do more than two thirds of South America's population live in Brazil?

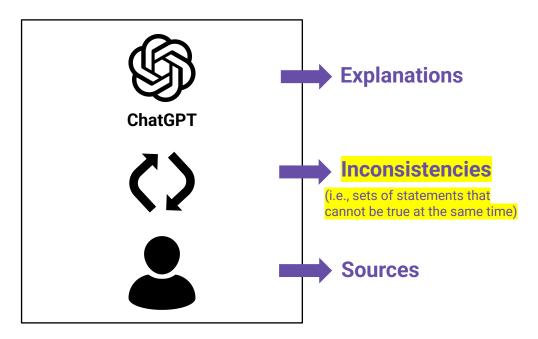
LLM response (example)

Yes, more than two-thirds of South America's population live in Brazil because Brazil is the largest and most populous country on the continent. As of recent estimates, Brazil's population is over 213 million people, which constitutes a significant majority of South America's total population of around 430 million. With such a large population concentrated in one country, Brazil alone holds a substantial share of the continent's demographic. Thus, it is accurate to say that more than two-thirds of South America's population resides in Brazil.

Sources:

- 1. https://www.worldometers.info/world-population/ tion/brazil-population/
- https://worldpopulationreview.com/continent s/south-america
- https://en.wikipedia.org/wiki/List_of_South_A merican_countries_by_population

Study 1: Think-Aloud Study



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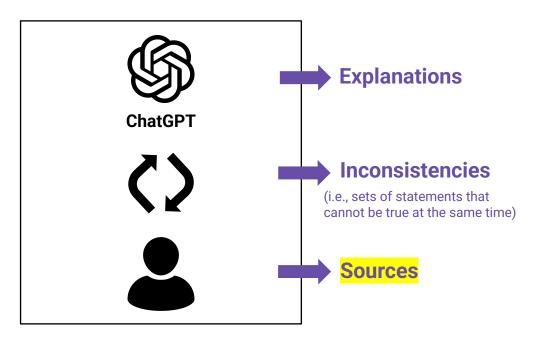
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Study 1: Think-Aloud Study



Task question (example)

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LLM response (example)

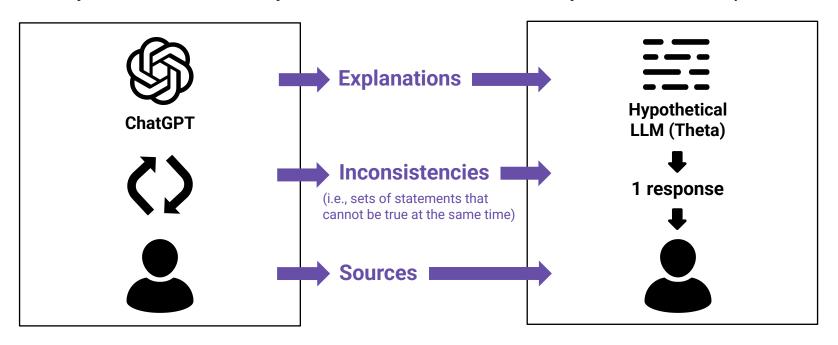
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Study 1: Think-Aloud Study

Study 2: Controlled Experiment



N=308, Each participant solves 8 QA tasks with access to 1 LLM response created in advance by the research team

Large-scale, pre-registered, controlled experiment (N=308)

2 x 2 x 2 within-subjects design: Explanation (O/X) x Sources (O/X) x LLM answer correctness (O/X)

Additionally analyzed the effects of Inconsistencies (O/X)

Explanation (X), Sources (X), Correctness (X)

No, not more than two-thirds of South America's population live in Brazil.

Explanation (0), Sources (X), Correctness (X)

No, not more than two-thirds of South America's population live in Brazil. Although Brazil is the largest country in South America by both land area and population, it does not encompass the majority of the continent's people. As of recent estimates, Brazil's population is roughly 213 million, while South America's total population is about 440 million. This means Brazil's population constitutes roughly 48% of the continent's total, which is significantly less than two-thirds. Hence, while Brazil has a large population, it does not exceed the two-thirds threshold relative to the entire continent's population.

Explanation (X), Sources (0), Correctness (X)

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Sources:

- 1. https://www.cia.gov/the-world-factbook/countries/brazil/
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- 3. https://www.worldometers.info/world-population/south-america-population/

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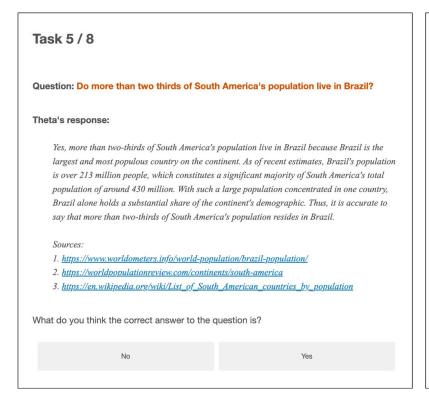
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Explanations

are not necessarily faithful

Sources tended to be accurate and relevant

Task: Factual question answering



How confident are you in your answer? (1: Not confident at all, 7: Extremely confident)											
1	2	3	4	5	5		6		7		
Please rate Theta's response. (1: Strongly disagree, 7: Strongly agree)											
						2	3	4	5	6	7
Theta's response offers good justification for its answer.					0	0	0	0	0	0	0
Theta's response includes information that helps me determine what my final answer should be.					0	0	0	0	0	0	0
If you could ask a follow-up question to Theta, what would it be? I would ask the following question:											
I'm satisfied with the current response and would not ask a follow-up question											

Variables & Analyses

Dependent variables

- Agreement, Accuracy, Time, SourceClick
- Confidence, JustificationQuality, Actionability, FollowUp

Drawn from prior work in HCI and psychology

Independent variables

• AI_Correct, AI_Explanation, AI_Sources (+ AI_Inconsistencies)

Analyses

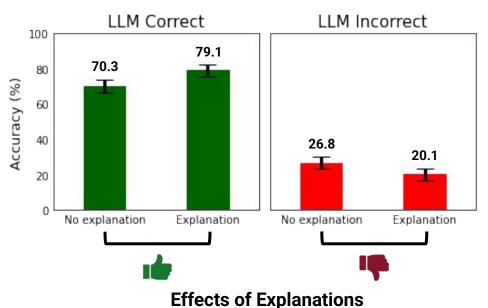
- Main analysis: DV ~ AI_Correct * AI_Explanation * AI_Sources + (1|PID) + (1|QID)
- Additional analysis of the effects of inconsistencies in explanations
- Additional analysis of the relationship between SourceClick and other DVs
- Qualitative analysis of free-form responses and follow-up questions

Lombrozo. TiCS 16 Lai & Tan. FAccT 19 Liquin & Lombrozo. Cognitive Psychology 22 Cao & Huang. CSCW 22

Preregistration:

https://aspredicted. org/bg22-vfw7.pdf

(1) Explanations tend to increase reliance, both appropriate reliance and overreliance



Tension between subjective ratings and appropriate reliance

Explanations tend to increase

Confidence
JustificationQuality
Actionability

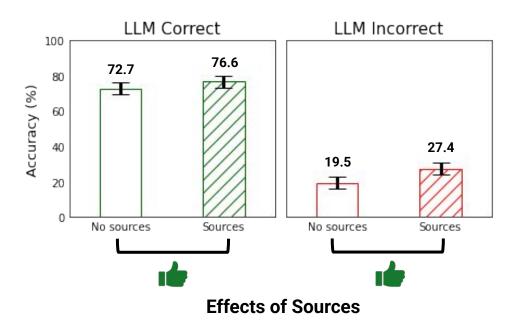


even when the LLM answer is incorrect

Optimizing LLMs for "helpfulness" and "preference" may exacerbate overreliance

Should explanations always be provided?

(2) Sources (accurate and relevant) can help foster appropriate reliance



Sources provided by LLMs may be inaccurate, irrelevant, or fake

Liu et al. Findings of EMNLP 23

Wu et al. arXiv 24

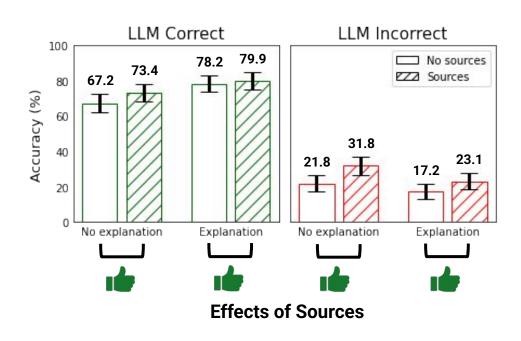


Investigate the effects of source quality

Provide (accurate and relevant) sources

Make fact-checking easy (e.g., show excerpts and quotes)

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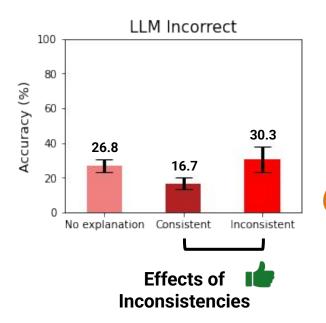


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Make fact-checking easy (e.g., show excerpts and quotes)

(3) Inconsistencies in explanation can reduce overreliance induced by explanation



Unexpected positive effect of inconsistencies

Inconsistencies are a relatively new unreliability cue (likely due to LLMs' stochasticity & natural language modality)

Study what other unreliability cues exist for LLMs

Design interventions that can help users detect and reason about unreliability cues (e.g., highlighting)

Thoughtful empirical studies on user perceptions and behaviors

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2. LLMs expressing uncertainty w/wo using personal pronouns

Can LLMs Express Their Uncertainty? An Empirical **Evaluation of Confidence Elicitation in LLMs**

Miao Xiong^{1*} Zhiyuan Hu¹ Xinyang Lu¹ Yifei Li⁴ Jie Fu³ Junxian He^{2†} Bryan Hooi^{1†}

National University of Singapore 2 The Hong Kong University of Science and Technology ³ Beijing Academy of Artificial Intelligence ⁴ École Polytechnique Fédérale de Lausanne

Reducing Conversational Agents' Overconfidence Through **Linguistic Calibration**

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Teaching models to express their uncertainty in words

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Uncertainty in Natural Language Generation: From Theory to Applications

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SEMANTIC UNCERTAINTY: LINGUISTIC INVARIANCES FOR UNCERTAINTY ESTIMATION IN NATURAL LANGUAGE GENERATION

Lorenz Kuhn, Yarin Gal, Sebastian Farquhar OATML Group, Department of Computer Science, University of Oxford lorenz kuhn@cs.ox.ac.uk

Navigating the Grey Area: How Expressions of Uncertainty and Overconfidence Affect Language Models

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2. LLMs expressing uncertainty w/wo using personal pronouns

Not Uncertain

Uncertain 1st

Uncertain General

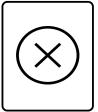
No Al

Yes, Spironolactone is an FDA-approved drug for treating acne. It is primarily used in medicine to treat heart failure and high blood pressure but doctors sometimes prescribe it for acne as well [1, 2, 3, 4].

I'm not sure, but my guess is Spironolactone is an FDA-approved drug for treating acne. It is primarily used in medicine to treat heart failure and high blood pressure but doctors sometimes

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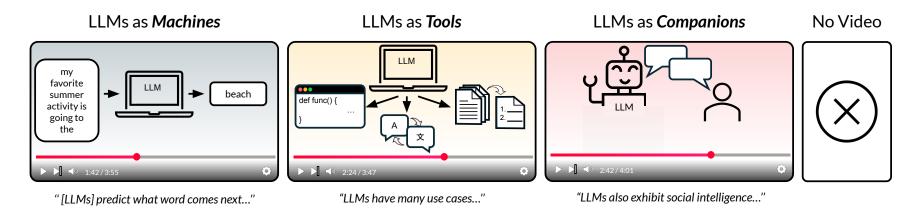


N=404 between-subjects experiment

(1) Uncertainty expression \rightarrow Less overreliance and more cautious user behaviors

(2) Perspective matters: 1st-person perspective shows stronger effects

3. Portraying LLMs as machines vs. tools vs. companions



N=470, N=604 between-subjects experiments

- (1) "Companion" portrayal \rightarrow Higher attribution of cognitive/emotional capacities to LLMs
- (2) "Machine" portrayal → Less reliance on inconsistent LLM responses

Measuring and mitigating overreliance is necessary for building human-compatible AI

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Stanford University

Kevin FengUniversity of Washington

Lama Ahmad OpenAI

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Siddharth Swaroop Harvard University

UK AI Security Institute

Ilia Sucholutsky New York University

Andrew Strait
UK AI Security Institute

Q. Vera Liao University of Michigan

Umang Bhatt University of Cambridge A brief history of overreliance research

Individual and societal risks from overreliance on LLMs

Factors influencing overreliance on LLMs

Measuring overreliance on LLMs

Mitigating overreliance on LLMs

Thanks to all of my amazing collaborators!



Olga Russakovsky



Jenn Wortman Vaughan



Vera Liao



Tania Lombrozo



Allison Chen



Amaya Dharmasiri



Judy Fan



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